



Premium Watch List Service

Oct 2020



Overview

The premium watch list service is a more extensive, additional-cost, alternative to the Standard Watch List Service which screens individuals against the Dow Jones Risk and Compliance Watchlist Service database at registration.

Service Offering

The Premium Watch List Service is a more extensive alternative to the Standard Watch List Service. It is designed to assist organisations in meeting international requirements for anti-money laundering, anti-corruption, and payments compliance, as well as in managing corporate risk. It is offered at additional cost.

The service retrieves profile information from the Dow Jones Risk and Compliance Watchlist Service database. Dow Jones maintains consolidated profiles on persons considered high-risk individuals. The profiles include the following types:

- Politically Exposed Persons (PEP) — individuals holding prominent public positions.
- Relatives or Close Associates of PEPs.
- Sanctions Lists — persons associated with terrorism, terrorist financing, narcotics trafficking, war crimes and economic sanctions. The lists cover more than 60 jurisdictions, and include data from sanctions lists published by the U.S. Office of Foreign Assets Control (OFAC), the United Nations, and the European Union, among others.
- Other Official Lists — persons involved in other high-risk activities, such as crimes not covered by anti-money laundering legislation or sanctions.
- Other Exclusion Lists — persons who are barred from certain activities by government bodies.

The service is run immediately after an applicant is registered by greenID. On completion, a report of the results is provided via our web services and on the greenID Admin Panel. The report includes the names of all the watch lists, if any, on which the applicant's name was found, as well as whatever other information is available in the matching profiles.

Dow Jones Risk and Compliance Profiles

The Dow Jones Risk and Compliance team digests data from more than 1,000 watch lists, adding information drawn from other respected sources, including government websites, Factiva (the Dow Jones news archive of over 34,000 sources including newswires, newspapers, periodicals, press releases and other sources from the last 30 years), and online newspapers and periodicals not available on Factiva. In addition to names and aliases, the profiles contain as much other data and secondary identifiers as the team can obtain from publicly available sources. Secondary identifiers include such information as date and place of birth, address, gender, passport numbers, and images, where available. (Note that even though additional identifiers may be available in the profile, greenID matches only on name and date of birth.)

The Dow Jones Content Definition Guide outlines exactly what is included with a greenID Premium Watch List subscription. greenID only includes watch lists for individuals, not entities, so the sections relating to entities have been struck out throughout the document.

Updates

Content is continually monitored and updated to ensure timeliness, and the entire database is reviewed monthly for quality. Where possible, information from one source is confirmed and verified by other sources.

Data Matching

Matching is on full name, including middle name when available, and date of birth.

Add-on Options

These options may be added to the Premium Watch List Service at additional cost. Please contact the Account Manager for more information.